- (e) Quality assurance and quality control of EBTs. (1) Licensees and other entities shall implement the most recent version of the manufacturer's instructions for the use and care of the EBT consistently with the quality assurance plan submitted to NHTSA for the EBT, including performing external calibration checks no less frequently than at the intervals specified in the manufacturer's instructions.
- (2) When conducting external calibration checks, licensees and other entities shall use only calibration devices appearing on NHTSA's CPL for "Calibrating Units for Breath Alcohol Tests."
- (3) If an EBT fails an external check of calibration, the licensee or other entity shall take the EBT out of service. The EBT may not be used again for alcohol testing under this subpart until it is repaired and passes an external calibration check.
- (4) In order to ensure that confirmed positive alcohol test results are derived from an EBT that is calibrated, the licensee or other entity shall implement one of the following procedures:
- (i) If an EBT fails any external check of calibration, cancel every confirmed positive test result that was obtained using the EBT from any tests that were conducted after the EBT passed the last external calibration check; or
- (ii) After every confirmed positive test result obtained from using an EBT, conduct an external check of calibration of the EBT in the presence of the donor. If the EBT fails the external calibration check, cancel the donor's test result and conduct another initial and confirmatory test on a different EBT as soon as practicable.
- (5) Inspection, maintenance, and calibration of the EBT must be performed by its manufacturer or a maintenance representative or other individual who is certified either by the manufacturer or by a State health agency or other appropriate State agency.

§26.93 Preparing for alcohol testing.

- (a) Immediately before collecting a specimen for alcohol testing, the collector shall— $\,$
- (1) Ask the donor whether he or she, in the past 15 minutes, has had anything to eat or drink, belched, or put

- anything into his or her mouth (including, but not limited to, a cigarette, breath mint, or chewing gum), and instruct the donor that he or she should avoid these activities during the collection process;
- (2) If the donor states that he or she has not engaged in the activities listed in paragraph (a)(1) of this section, alcohol testing may proceed;
- (3) If the donor states that he or she has engaged in any of the activities listed in paragraph (a)(1) of this section, inform the donor that a 15-minute waiting period is necessary to prevent an accumulation of mouth alcohol from leading to an artificially high reading:
- (4) Explain that it is to the donor's benefit to avoid the activities listed in paragraph (a)(1) of this section during the collection process;
- (5) Explain that the initial and confirmatory tests, if a confirmatory test is necessary, will be conducted at the end of the waiting period, even if the donor has not followed the instructions; and
- (6) Document that the instructions were communicated to the donor.
- (b) With the exception of the 15-minute waiting period, if necessary, the collector shall begin for-cause alcohol and/or drug testing as soon as reasonably practical after the decision is made that for-cause testing is required. When for-cause alcohol testing is required, alcohol testing may not be delayed by collecting a specimen for drug testing.

§ 26.95 Conducting an initial test for alcohol using a breath specimen.

- (a) The collector shall perform the initial breath test as soon as practical after the donor indicates that he or she has not engaged in the activities listed in §26.93(a)(1) or after the 15-minute waiting period has elapsed, if required.
- (b) To perform the initial test, the collector shall— $\,$
- (1) Select, or allow the donor to select, an individually wrapped or sealed mouthpiece from the testing materials;
- (2) Open the individually wrapped or sealed mouthpiece in view of the donor and insert it into the device as required by the manufacturer's instructions;

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- (3) Instruct the donor to blow steadily and forcefully into the mouthpiece for at least 6 seconds or until the device indicates that an adequate amount of breath has been obtained:
- (4) Show the donor the displayed or printed test result; and
- (5) Ensure that the test result record can be associated with the donor and is maintained secure.
- (c) Unless problems in administering the breath test require an additional collection, only one breath specimen may be collected for the initial test. If an additional collection(s) is required, the collector shall rely on the test result from the first successful collection to determine the need for confirmatory testing.

§ 26.97 Conducting an initial test for alcohol using a specimen of oral fluids.

- (a) To perform the initial test, the collector shall— $\,$
- (1) Check the expiration date on the device and show it to the donor (the device may not be used after its expiration date):
- (2) Open an individually wrapped or sealed package containing the device in the presence of the donor:
- (3) Offer the donor the choice of using the device or having the collector use it. If the donor chooses to use it, instruct the donor to insert the device into his or her mouth and use it in the manner described by the device's manufacturer:
- (4) If the donor chooses not to use the device, or in all cases when a new test is necessary because the device failed to activate, insert the device into the donor's mouth, and gather oral fluids in the manner described by the device's manufacturer (wear single-use examination or similar gloves while doing so and change them following each test); and
- (5) When the device is removed from the donor's mouth, follow the manufacturer's instructions regarding necessary next steps to ensure that the device has activated.
- (b) If the steps in paragraph (a) of this section could not be completed successfully (e.g., the device breaks, the device is dropped on the floor, the

- device fails to activate), the collector shall—
- (1) Discard the device and conduct a new test using a new device. The new device must be one that has been under the collector's control before the test;
- (2) Record the reason for the new test:
- (3) Offer the donor the choice of using the device or having the collector use it unless the donor, in the opinion of the collector, was responsible for the new test needing to be conducted. If the collector concludes that the donor was responsible, then the collector shall use the device to conduct the test; and
- (4) Repeat the procedures in paragraph (a) of this section.
- (c) If the second collection attempt in paragraph (b) of this section could not be completed, the collector shall—
- (1) End the collection of oral fluids and document the reason(s) that the collection could not be completed; and
- (2) Immediately conduct another initial test using an EBT.
- (d) The collector shall read the result displayed on the device no sooner than the device's manufacturer instructs. In all cases, the collector shall read the result within 15 minutes of the test. The collector shall then show the device and its reading to the donor, record the result, and record that an ASD was used.
- (e) Devices, swabs, gloves, and other materials used in collecting oral fluids may not be re-used.

§ 26.99 Determining the need for a confirmatory test for alcohol.

- (a) If the initial test result is less than 0.02 percent BAC, the collector shall declare the test result as negative.
- (b) If the initial test result is 0.02 percent BAC or higher, the collector shall ensure that the time at which the test was concluded (i.e., the time at which the test result was known) is recorded and inform the donor that a confirmatory test for alcohol is required.

§ 26.101 Conducting a confirmatory test for alcohol.

(a) The confirmatory test must begin as soon as possible, but no more than 30